

INFORMATION DISCLOSURE CITATION Form PTO-1449 (Modified) (Use several sheets if necessary)	ATTY. DOCKET NO. NUFO001	SERIAL NO. 09/814,464
	APPLICANT Daiber, A.	CONFIRMATION NO. 4117
	FILING DATE March 21, 2001	EXAMINER (GROUP) Unassigned (2881)

U.S. PATENT DOCUMENTS								
Examiner Initial		Document Number	Date	Name	Class	Subclass	Filing Date If Appropriate	
	AA	5,428,700	June 27, 1995	Hall				
	AB	6,108,355	Aug. 22, 2000	Zorabedian				
	AC	6,192,058 B1	Feb. 20, 2001	Abeles				
	AD	6,205,159 B1	Mar. 20, 2001	Sesko et al.				
FOREIGN PATENT DOCUMENTS								
		Document Number	Date	Country	Class	Subclass	Translation	
							Yes	No
OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)								

EXAMINER 	DATE CONSIDERED 3/1/04
*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.	

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CERTIFICATE OF MAILING			
I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231.			
Typed or Printed Name		Wilhelm Albert Palmen Jr.	
Signature		Date	July 31, 2001
INFORMATION DISCLOSURE STATEMENT 37 CFR § 1.97(b) Address to: BOX MISSING PARTS Assistant Commissioner for Patents Washington, D.C. 20231		Attorney Docket	NUFO001
		First Named Inventor	Daiber, Andrew
		Application Number	09/814,464
		Confirmation Number	4117
		Filing Date	March 21, 2001
		Group Art Unit	2881
		Examiner Name	Unassigned
		Title: "Error Signal Generation System"	

Sir:

This is an Information Disclosure Statement submitted for the Examiner's consideration. A Form PTO-1449 listing the references accompanies this paper. Applicants would appreciate the Examiner's initialing and returning the form to indicate that the references have been reviewed and made of record.

The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR § 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR § 1.491 in an international application; before the mailing date of a first Office Action on the merits; or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR § 1.114.

This Information Disclosure Statement is not intended as a representation that a search has been made, that additional information material to the examination of this application does not exist, or that any one of the above references constitutes prior art to the present application within the meaning of 35 U.S.C. §102.

Applicants feel that there are no fees required in association with the filing of this paper, however, the Commissioner is hereby authorized to charge any fees under 37 C.F.R. §§ 1.16 and 1.17 which may be required by this paper, or to credit any overpayment, to Deposit Account No. 50-0815.

Respectfully submitted,
BOZICEVIC, FIELD & FRANCIS LLP

Date: July 31, 2001

By: 

Robert C. Hall
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INFORMATION DISCLOSURE

STATEMENT BY APPLICANT

(use as many sheets as necessary)

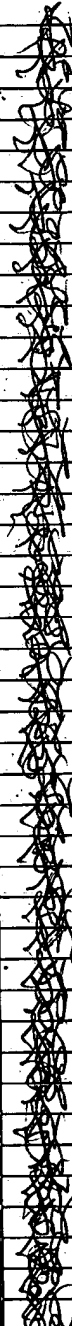
Complete if Known

Application Number	09/814,464
Filing Date	March 21, 2001
First Named Inventor:	Daiber
Art Unit	2828
Examiner Name	Scott Jr., Leon
Attorney Docket Number	42P14869

of

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U.S. PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Document Number		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code ² (if known)				
	1	US-	4,410,992	10/18/1993	Javan	
	2	US-	4,460,977	07/17/1984	Shimada et al.	
	3	US-	4,847,854	07/11/1989	Van Dijk	
	4	US-	5,124,993	06/23/1992	Braunlich et al.	
	5	US-	5,163,063	11/10/1992	Yoshikawa et al.	
	6	US-	5,181,214	01/19/1993	Berger et al.	
	7	US-	5,414,280	05/09/1995	Girmay	
	8	US-	5,418,800	05/23/1995	Prior et al.	
	9	US-	5,428,700	06/27/1995	Hall	
	10	US-	5,444,724	08/22/1995	Goto	
	11	US-	5,673,129	09/30/1997	Mizrahi	
	12	US-	5,751,750	05/12/1998	Friede et al.	
	13	US-	5,777,773	07/07/1998	Epworth et al.	
	14	US-	5,812,716	09/22/1998	Ohishi	
	15	US-	5,848,092	12/08/1998	Mitsumoto et al.	
	16	US-	5,872,881	02/16/1999	Rossi et al.	
	17	US-	5,917,188	06/29/1999	Atkinson et al.	
	18	US-	5,943,352	08/24/1999	Fee	
	19	US-	6,018,535	01/25/2000	Maeda	
	20	US-	6,034,799	03/07/2000	Hansen	
	21	US-	6,040,950	03/21/2000	Broome	
	22	US-	6,044,095	03/28/2000	Asano et al.	
	23	US-	6,064,501	03/16/2000	Roberts et al.	
	24	US-	6,081,539	06/27/2000	Mattori et al.	
	25	US-	6,115,121	09/05/2000	Erskine	
	26	US-	6,181,717 B1	01/30/2001	Kner et al.	
	27	US-	6,205,159 B1	03/20/2001	Sesko et al.	
	28	US-	6,229,835 B1	05/08/2001	Tomaru et al.	
	29	US-	6,249,365 B1	06/19/2001	Mizrahi et al.	
	30	US-	6,259,712 B1	07/10/2001	DeCain et al.	
	31	US-	6,301,280 B1	10/09/2001	Broutin et al.	
	32	US-	6,304,586 B1	10/16/2001	Pease et al.	
	33	US-	6,337,660 B1	01/08/2002	Esman et al.	
	34	US-	6,366,689 B1	04/02/2002	Rao et al.	
	35	US-	6,366,592 B1	04/02/2002	Flanders	
	36	US-	2002/0048297 A1	04/25/2002	Irie et al.	
	37	US-	6,404,538 B1	06/11/2002	Chen et al.	
	38	US-	6,441,933 B1	08/27/2002	Jang	
	39	US-	6,532,091 B1	03/11/2003	Miyazaki et al.	
	40	US-	3,788,743	01/29/1974	George	
	41	US-	3,899,748	08/12/1975	Bodlaj	
	42	US-	3,921,099	11/18/1975	Abrams et al.	
	43	US-	3,965,440	06/22/1976	Graves	

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Complete if Known


Application Number	09/814,464
Filing Date	March 21, 2001
First Named Inventor:	Daiber
Art Unit	2828
Examiner Name	Scott Jr., Leon
Attorney Docket Number	42P14869

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U.S. PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Document Number		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code ² (If known)				
	44	US-	4,730,105	03/08/1988	Mitschke et al.	
	45	US-	4,934,816	06/19/1990	Silver et al.	
	46	US-	5,022,745	06/11/1991	Zayhowski et al.	
	47	US-	5,289,491	02/22/1994	Dixon	
	48	US-	5,412,676	05/02/1995	Schnier et al.	
	49	US-	6,151,337	11/21/2000	Carlsten et al.	
	50	US-	6,201,638 B1	03/13/2001	Hall et al.	
	51	US-	6,263,004 B1	07/17/2001	Arvidsson et al.	
	52	US-	6,470,036 B1	10/22/2002	Bailey et al.	
	53	US-	6,192,058 B1	02/20/2001	Abeles	
		US-				
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		US-				

FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Foreign Patent Document			Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
		Country Code ³	Number ⁴	Kind Code ⁵ (if known)				
	54	WO	98/05105		02/05/1998	ROYCHOUDHURI		
	55	WO	00/49689		08/24/2000	ZORABEDIAN		
	56	WO	01/04999 A1		01/18/2001	CYOPTICS LTD.		
	57	WO	02/078137 A1		10/03/2002	NEW FOCUS, INC.		
	58	WO	03/005500 A2		01/16/2003	NEW FOCUS, INC.		
	59	WO	03/005501 A2		01/16/2003	NEW FOCUS, INC.		
	60	WO	03/005512 A2		01/16/2003	NEW FOCUS, INC.		

Examiner
Signature

Date Considered

12/28/03

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This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer,

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Substitute for Form 1449/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary)				Complete if Known		
				Application Number	09/814,464	
Sheet		3	of	3	Filing Date	March 21, 2001
					First Named Inventor:	Daiber
					Art Unit	2828
					Examiner Name	Scott Jr., Leon
					Attorney Docket Number	42P14869
NON PATENT LITERATURE DOCUMENTS						
Examiner Initials*	Cite No ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published				T ²
JH	61	KETELSEN, L. J. P., "Simple Technique for Measuring Cavity Loss in Semiconductor Laser," <i>Electronics Letters</i> , (August 18, 1994), Vol. 30, No. 17, pp. 1422-1424				
SV	62	SHTENGEL, G. E., et al., "Internal Optical Loss Measurements in 1.3um InGaAsP Lasers," <i>Electronic Letters</i> , (July 6, 1995), Vol. 31, No. 14, pp. 1157-1159.				

Examiner Signature	Leon Scott Jr.	Date Considered	12/28/03
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